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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/870,860	05/30/2001	Farah Moaven	WELL0016	8988
22862	7590	11/02/2006	EXAMINER	
GLENN PATENT GROUP 3475 EDISON WAY, SUITE L MENLO PARK, CA 94025			JACKSON, JENISE E	
			ART UNIT	PAPER NUMBER
			2131	

DATE MAILED: 11/02/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/870,860

Applicant(s)

MOAVEN ET AL.

Examiner

Jenise E. Jackson

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 18 August 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-10 and 12-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10, 12-32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-10, 12-32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Win et al(6,161,139) in view of Hudson et al(6,055,637).
3. As per claims 1, 10, 32, Win et al. discloses a method of requesting approval for accessing a resource in a system of resources (see col. 3, lines 66-67, col. 4, lines 16-18, col. 5, lines 45-47), creating a resource profile including at least one resource(see col. 4, lines 58-67, col. 5, lines 27-30), wherein the at least one resource has an owner(see col. 5, lines 34-35, col. 16, lines 59-67); a manager (i.e. admin) creating a job profile including at least one object construction, the object construction representing a job function(see col. 4, lines 66, col. 5, lines 1-44, see col. 12, lines 45-50, 65-67, col. 13, lines 9-15, 59-60); assigning the job profile to the resource profile(see col. 5, lines 1-33), such that any user subsequently assigned to the job profile by the manager automatically gains approval and access to the at least one resource included in the resource profile(see col. 4, line 66, col. 5, lines 1-45), assigning at least one user to the approved job profile, performing a separate access implementation process(see col. 17, lines 65-67, col. 18, lines 1-10), including any user at any later point in time accessing the resource without needing to go through the approval process(col. 18, lines 25-34), Win discloses this

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because Win discloses the system enables users to log-in to the system once, and thereafter access one or more resources during an authenticated session(see col. 5, lines 45-47).

Win is silent on requesting of the resource owner approval for access by the job profile to the at least one resource, and responsive to the resource owner granting approval. Hudson et al. discloses requesting of the resource owner approval for access by the job profile to the at least one resource, and responsive to the resource owner granting approval(see col. 4, lines 33-45). It would have been obvious to one of ordinary skill in the art at the time of the invention to include requesting of the resource owner approval for access by the job profile to the at least one resource, and responsive to the resource owner granting approval of Hudson with Win et al., the motivation is that in order to grant access to resources, one must request access to the resource from the resource owner(see col. 4, lines 23-50 of Hudson).

4. As per claim 2, Win et al. discloses wherein the requesting step automatically originates from the assigning step(see col. 5, lines 29-32).

5. As per claim 3, Win et al. discloses wherein the resource profile includes at least one computing device(see col. 4, lines 46-66).

6. As per claim 4, Win et al. discloses wherein the resource profile includes at least one software module(i.e. applet)(see col. 4, lines 65-66).

7. As per claim 5, Win et al. discloses wherein the job profile includes at least one job(see col. 5, lines 1-11).

8. As per claim 6, Win et al. discloses wherein the job profile includes at least one role(see col. 5, lines 12-41).

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9. As per claim 7, Win et al. discloses wherein the job profile includes at least one project(see col. 5, lines 1-27).
10. As per claim 8, Win et al. discloses wherein the job profile includes at least one workgroup(see col. 5, lines 1-27).
11. As per claim 9, Win et al. discloses wherein the job profile includes at least one responsibility (see col. 5, lines 1-27).
12. As per claim 12, Win et al. discloses including granting an account to the user for accessing the approved resource(see col. 9, lines 17-45).
13. As per claim 13, Win et al. discloses wherein the account is automatically provided following the assigning the user to the approved job profile(see col. 5, lines 22-47).
14. As per claim 14, Win et al. discloses wherein the resource profile includes at least one computing device(see col. 4, lines 46-66).
15. As per claim 15, Win et al. discloses wherein the resource profile includes at least one application software(i.e. applet)(see col. 4, lines 65-66).
16. As per claim 16, Win et al. discloses wherein the job profile includes at least one job(see col. 5, lines 1-11).
17. As per claim 17, Win et al. discloses wherein the job profile includes at least one role(see col. 5, lines 1-11).
18. As per claim 18, Win et al. discloses wherein the job profile includes at least one project(see col. 5, lines 1-27).
19. As per claim 19, Win et al. discloses wherein the job profile includes at least one workgroup(see col. 5, lines 1-27).

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20. As per claim 20, same motivation as above. Win et al. discloses receiving a request for a job profile to access the resource profile(see col. 4, lines 58-67, col. 5, lines 27-30); evaluating the request (see col. 5, lines 35-44, col. 13, lines 7-23); and deciding to grant access approval such that if access approval is granted, future accesses of the resource profile by members of the job profile that do not need to request approval(see col. 5, lines 45-55). Win does not disclose a request or approval to a resource owner. Hudson discloses a request to a resource owner, and approval(see col. 4, lines 33-45).

21. As per claim 21, Win et al. discloses wherein the deciding step includes restricting the resource profile to be accessed by a certain job profile(see col. 5, lines 1-29).

22. As per claim 22, same motivation as above. Win et al. discloses a system for accessing computing resources(see col. 3, lines 66-67, col. 4, lines 16-18, col. 5, lines 45-47), at least one user terminal(see col. 4, lines 46-49); at least one database including at least one application software(see col. 4, lines 58-67); at least one computing device(see col. 4, lines 11-45); means for creating a resource profile including the at least one database and the at least one application software, wherein the resource profile has at least one owner(see col. 4, lines 58-67, col. 5, lines 1-11); means for creating a job profile managed by a manager and related to at least one user; means for assigning the resource profile to the job profile(see col. 5, lines 1-33); means for approving once the job profile access to the resource profile by at least one resource owner(see col. 5, lines 35-44, col. 13, lines 7-23); and means for providing any member assigned to the job profile automatically gains access to at least one resource included in the resource profile(see col. 5, lines 1-55). Win does not disclose requesting or approval of the resource by the resource

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owner. Hudson discloses resource owner, and the requesting and approval of the resource by the resource owner(see col. 4, lines 33-45).

23. As per claim 23, Win et al. discloses implemented on a network environment(see col. 4, lines 51-57).

24. As per claim 24, Win et al. discloses wherein the network environment further including Internet(see col. 4, lines 51-57).

25. As per claim 25, Win et al. discloses wherein at least one of the resource profile(see col. 5, lines 1-33), the computing device(see col. 4, lines 46-66), and the software module is owned by various resource owners(see col. 4, lines 58-67, col. 5, lines 1-10).

26. As per claim 26, Win et al. discloses determining whether a plurality of resources may be grouped together in a resource profile; and grouping the plurality of resources in the resource profile if such grouping is allowed, such that if access approval is granted once to an authorized job profile for the resource profile, future accesses by the authorized job profile of the resource profile do not need access approval(see col. 5, lines 1-47).

27. As per claim 27, Win et al. discloses wherein the determining step further includes checking against an exclusion rule(see col. 5, lines 1-47).

28. As per claim 28, Win et al. discloses indicating that the resource profile may not be built if the grouping is not allowed under the exclusion rule(see col. 5, lines 1-47).

29. As per claim 29, same motivation above. Win et al. discloses a method for assigning a job profile to a resource profile(see col. 5, lines 1-33); determining whether a job profile may be assigned to a resource profile; and one time assigning the job profile to the resource profile if such assignment is requested by a manager of the job profile and allowed, such that a user

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assigned to the job profile gains automatic approval for accessing the resource profile(see col. 5, lines 1-55). Win does not disclose requesting of the resource by the resource owner. Hudson discloses requesting and approval of the resource by the resource owner(see col. 4, lines 33-45).

30. As per claim 30, Win et al. discloses wherein the determining step further includes checking against an exclusion rule(see col. 5, lines 1-47).

31. As per claim 31, Win et al. discloses indicating that the job profile may not be assigned to the resource profile if the assignment is not allowed under the exclusion rule(see col. 5, lines 1-47).

#### **Response to Applicant**

32. The Examiner has withdrawn the 112 1<sup>st</sup> and 2<sup>nd</sup> rejections, as the Applicant has provided proof in the specification where the limitation is disclosed, “any user at any later point in time accessing the resource without needing to go through the approval process”. Thus, the Applicant remarks in regards to the 112 1<sup>st</sup> and 2<sup>nd</sup> rejection were persuasive, and have been withdrawn.

#### **Final Action**

33. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period



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will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jenise E. Jackson whose telephone number is (571) 272-3791. The examiner can normally be reached on M-Th (6:00 a.m. - 3:30 p.m.) alternate Friday's.

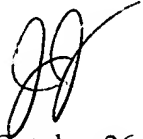
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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October 26, 2006

**CHRISTOPHER REVAK  
PRIMARY EXAMINER**

A handwritten signature in black ink, featuring a large, stylized 'C' followed by a series of loops and a long horizontal stroke.